Grievance Policy and Procedures

For Sleep Unlimited

A-Step Program

It is the policy at Sleep Unlimited to protect the rights and freedoms of students. The following procedures have been established to provide for an orderly, fair, and prompt resolution of student grievances concerning academic issues. These procedures are established to insure the due process, equitable treatment, and protection of all parties involved in the process. No adverse action shall be taken in response to an individual who registers an academic grievance.

Academic grievance, as used here, shall mean a complaint by a student of Sleep Unlimited against a representative of the Company when s/he perceives that s/he has suffered adverse academic consequences from an alleged violation, misinterpretation, inequitable application, misrepresentation, or inappropriate implementation of the academic regulations and procedures of the Company.

In keeping with the intent and spirit of these procedures, it is incumbent upon all parties to show respect, restraint, and responsibility in their efforts to resolve the grievance. It is incumbent on students proceeding with a grievance to provide evidence of a violation. It is incumbent upon representatives of the Company to arrange meetings and conferences concerning the matter in good faith and promptly to communicate decisions to the student promptly.

This procedure is in no way intended to supplant or duplicate other grievance procedures available either to the student or the Company representative(s) named in the grievance, or to prevent such individuals from pursuing other remedies as are provided by law.

Academic Grievance Procedures

When a student believes s/he can demonstrate s/he has been harmed by a violation, misinterpretation, inequitable application, misrepresentation, or inappropriate implementation of the academic regulations and procedures of the Company, the following grievance procedure shall be followed. The failure of any Company personnel at any level to communicate a decision to the aggrieved student within the stated time limits shall permit the student to proceed to the next step of the process (unless, for reasonable cause, the time limit has been extended by the Program Director). The failure of the student to appeal the grievance to the next step within the stated time limits shall constitute a withdrawal of the grievance and shall bar further action (unless, for reasonable cause, the time limit has been extended by the Program Director).

I. Initial Informal Procedures

The student shall initiate the informal procedures within **five working days** after the student first perceives the alleged violation on which the complaint is based. (For reasonable cause, the Program Director may extend this time requirement.) The informal procedures outlined below will be initiated prior to a formal grievance being filed.

- 1. Whenever possible, the student shall meet with the company representative whose actions are the object of the complaint to discuss the issue and attempt to resolve it satisfactorily.
- 2. If the complaint has not been resolved in conference with the Company representative, or if the student cannot meet with the Company representative, the student will discuss the complaint with the Company representative's immediate supervisor, who will attempt to help the student resolve the complaint. In the interest of resolving the complaint, when the student discusses the complaint with the immediate supervisor, the immediate supervisor shall confer with the Company representative.
- If the student does not feel the problem has been resolved within five working days after the conference with the Company representative's supervisor, the student may initiate the formal academic complaint procedure.

II. Formal Procedures

- Within ten working days after the student first perceives the alleged violation on which the complaint is based, the student will file an Academic Grievance Form. (For reasonable cause, the Program Director may extend this time requirement.) Thereafter, the complaint shall be referred to as a grievance. The form will be completed and returned to the Program Director.
- 2. Within two working days of receipt by the Program Director of the grievance, the Program Director will send written notification to the student who has initiated the process acknowledging receipt of the formal grievance. At the same time, the Program Director will also send written notification to the Company representative named in the grievance indicating that a formal grievance process has been initiated. A copy of the formal grievance will be attached. (To protect the rights and privacy of other individuals who may be identified by the student complainant, but who may or may not choose to participate in the grievance process, the Program Director will retain the right to expunge the names of such other individuals from the complaint.)
- 3. A meeting will be held with the Company Representative, Program Director and Clinical Director within **ten working days** of receipt of the written grievance. (For reasonable cause, this time requirement may be extended by the Program Director.)
 - During the meeting(s), the Committee may interview the student, the individual against whom the grievance is directed, and/or request additional material they feel is necessary for a fair and complete consideration of the issue.
 - 2. At all stages and at their own expense, the student and the individual to whom the grievance is directed may have one person with them during the process. Nevertheless, the student and the Company representative named in the grievance shall serve as their own spokespersons. The role of their accompanying representatives, if any, shall be to advise the student or the Company representative, and they will not be permitted to speak or to participate directly in any other way unless invited to do so by the Program Director.
 - 3. Both the student and the company representative named in the grievance may request that the testimony of witnesses be heard. Requests for such witnesses must be made in writing in advance of any hearing scheduled by the Committee and must be submitted to the Program Director no later than five college working days following the date of written notification. (For reasonable cause, the Program Director may extend this time requirement.) Requests for witnesses must include the complete name of all witnesses, a description of the relationship of the witness to the individual making the request, and a summary of the expected testimony each witness will provide. The Program Director will retain the right to limit the number of witnesses.

- 4. In the event that either a witness or the Company representative, who is the subject of the grievance, does not appear at the meeting(s) called by the Committee, or will not provide information or documents as requested, the recommendation of the Committee will be made on the basis of the information available to them.
- 4. When voting on an Academic Grievance, only committee members may be present. All members of the Academic Grievance Committee will participate in the vote.

The Academic Grievance Committee may decide to:

- accept the arguments of the grievance, in which case they will recommend in writing to the Clinical director an appropriate action to be taken concerning the grievance. Such recommended actions may include, but will not be limited to, reinstatement after dismissal from the Program, change of grade, approval of a waiver, retaking a test.
- not accept the arguments of the grievance, which they will so recommend in writing to the Clinical Director.
- 3. As noted above, the Committee will have completed its meetings within ten working days of receipt of the written grievance. (For reasonable cause, the Program Director may extend this time requirement for as many as ten additional working days.) The Committee will submit to the Clinical Director a written recommendation concerning the appropriate disposition of the grievance, as well as all supporting materials.
- 5. Within ten college working days of receipt of the recommendation from the Committee, the Program Director will review the materials submitted, file a final decision, and provide written notification to both the student and the individual against whom the grievance is directed as to the disposition of the grievance. Normally, the Program Director will accept the findings and recommendations of the Grievance Committee and (s)he will take the action appropriate to redress any demonstrated harm to the student. However, the Program director will retain the right to undertake a further review of the grievance, to also interview the student, the individual named in the grievance, and/or request additional material (s)he feels is necessary to a complete consideration of the issue. If the decision of the Program Director differs from the findings and recommendations of the Grievance Committee, (s)he will meet with the Committee in order to provide an explanation of the decision. The decision of the Program Director is final.

If the Program Director is directly involved in any way in the informal stage of the grievance, the Clinical Director will assume the responsibilities assigned to the Program Director at every stage in the formal process.

6. The Program Director will be responsible for recording and filing the decision. All materials connected with the grievance will be held in confidence, and shall not be utilized in proceedings concerning discipline, promotion, tenure, or appointments, unless otherwise provided by law.